

07/18/03

16711 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

Under Small Entity Status

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No.

HLAVP002D1

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of () application identifier or (X) first named inventor, Edwin J. Hlavka, entitled METHOD AND APPARATUS FOR PERFORMING CATHETER-BASED ANNULOPLASTY USING LOCAL PLICATIONS, for a(n):

() Original Patent Application.

(X) Continuing Application (prior application not abandoned):

() Continuation (X) Divisional () Continuation-in-part (CIP)
of prior Application No. 09/866,550, filed May 25, 2001, which is a
Continuation-in-Part of prior Application No. 09/841,968, filed April 24, 2001.

(X) Please insert (or replace the previous claim of priority) after the title of the application "This is a

() Continuation (X) Divisional () Continuation-in-part (CIP)
of prior Application No. 09/866,550, filed May 25, 2001, which is a
Continuation-in-Part of prior Application No. 09/841,968, filed April 24, 2001,
which are hereby incorporated by reference."

() This application claims the benefit of U.S. Provisional Application
No. _____, filed _____.

Enclosed are:

- (X) Specification; 43 Total Pages. (X) Drawing(s); 20 Total Sheets.
() Oath or Declaration:
() A Newly Executed Combined Declaration and Power of Attorney:
() Signed. () Unsigned. () Partially Signed.
(X) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).
() Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).
() Power of Attorney. (X) Return Receipt Postcard.
() Associate Power of Attorney. () A Check in the amount of \$ _____ for the Filing Fee.
(X) Preliminary Amendment. () Information Disclosure Statement and Form PTO-1449.
() A Duplicate Copy of this Form for Processing Fee Against Deposit Account.
() A Certified Copy of Priority Documents (if foreign priority is claimed).
() Statement(s) of Status as a Small Entity.
() Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.
() Other: _____

PLEASE DO NOT CHARGE THE FILING FEE AT THIS TIME.

Respectfully submitted,

By: Peggy A. Su

Peggy A. Su, Reg. No. 41,336

Date: July 18, 2003

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